

# Self-adjoint codimension 2 boundary conditions for Dirac operators

Bernd Ammann

Universität Regensburg, Germany

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# The setting of this talk

- ▶ Let  $(M, g)$  be a complete oriented Riemannian manifold,  $N$  a compact oriented submanifold of codimension  $k$ .
- ▶  $[M : N] = (M \setminus N) \cup S_M N$  the blowup of  $M$  along  $N$ . Here  $S_M N$  is the normal sphere bundle of  $N$  in  $M$ ,  $S_M N = \partial[M : N]$ .  
The pull-back  $\hat{g}|_\rho = (\pi^* g)|_\rho : T_\rho[M : N] \otimes T_\rho[M : N] \rightarrow \mathbb{R}$  is degenerate along the fibers of  $S_M N$ .



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- ▶ We assume that  $M \setminus N$  is spin.
- ▶ There is a complex spinor bundle  $\Sigma \rightarrow [M : N]$ .
- ▶ Let  $L \rightarrow [M : N]$  be a hermitian line bundle with  $\nabla$ , whose curvature is a pull-back from  $M$ .
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More general frameworks are possible which will not be discussed in this talk.



## Examples with different codimensions

- ▶  $\dim N = \dim M - 1$ : Classical boundary problem.  
If  $N$  separates  $M$  in  $M_1$  and  $M_2$ , then

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- ▶  $\dim N = \dim M - 2$ . **Monodromy**  $\alpha = (\alpha_1, \dots, \alpha_j)$ .

$$N = \prod_{j=1}^{\ell} N_j$$

Parallel transport in  $W$  around  $N_j$  is  $e^{2\pi i \alpha_j}$ .

$[\alpha_j] \in \mathbb{R}/\mathbb{Z}$  only depends on  $j$ .

Main objective of the talk.



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Main objective of the talk.

- ▶  $\dim N \leq \dim M - 3$ .  
Then  $L = \pi^*(\mathcal{L})$ . No monodromy effects,  $N$  is “invisible”.



# Main examples

- ▶  $M$  spin. Monodromy comes from  $L$ .  
Main subcase:  $L$  flat. Monodromy  $\pi_1(M \setminus N) \rightarrow \mathcal{S}^1$ .  
Main subsubcase:  $N$  is a link in  $\mathbb{S}^3$ .

$$(\mathcal{S}^1)^\ell \ni \exp 2\pi i \alpha \mapsto L_\alpha$$



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- ▶  $(M \setminus N) \cup N_j$  is spin. Similar discussion close to  $N_j$
- ▶  $(M \setminus N) \cup N_j$  is not spin, (more precisely: spin structure does not extend).

Then monodromy only comes from  $\Sigma$ ,  $\alpha_j = 1/2 \pmod{\mathbb{Z}}$ .

Main subcase:  $L = \underline{\mathbb{C}}$

Example:  $M = \mathbb{C}P^{2r}$ ,  $N = \mathbb{C}P^{2r-1}$ .

Fix  $p \in M \setminus N$ , solve  $\not{D}\Psi = \psi_0 \delta_p$  on  $M \setminus N$  with bdy cond.

Expectation: If PMT would fail, we would get a map

$$S(\Sigma_p) \times \{\text{bdy cond}\} \rightarrow \{\text{non-zero spinors on } N\}.$$

Interesting applications?

# Genesis of the project

**Work by mathematical physicists for  $M = \mathbb{S}^3$  or  $M = \mathbb{R}^3$ .**

Electrons coupled magnetic fields.

Existence of harmonic spin<sup>c</sup>-spinors yield statements of the type

If our world is stable, then the fine structure constant  $\hbar c/e^2$  has to satisfy some bounds.

Measurements:  $\hbar c/e^2 = 137.03599968 \dots$  Why this?



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Examples of harmonic spin<sup>c</sup>-spinors on  $M = \mathbb{S}^3$  with distributional magnetic flux  $\alpha$  along  $N$  yield smooth solutions on  $\mathbb{R}^3$ : smoothing of magnetic flux, conformal change.

Leads to link invariants, Hopf insulators (3-d topological insulators)



## Some literature (incomplete!)

- ▶ Aharonov & Casher 1978: general description
- ▶ Loss & Yau (& Fröhlich) 1986: first examples of harmonic spinors, relation to “stability of matter” and “estimates of the fine structure constant”
- ▶ László Erdős & Solovej 2001: good progress, examples with many harmonic spinors on  $\mathbb{S}^3$ , sketchy

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- ▶ Deng & Wang & Sun & Duan: arxiv cond-mat 1612.01518  
keywords: DNA, supramolecular chemistry, polymers, helium superfluid, spinor Bose-Einstein condensates, quantum chromodynamics, string theory, quantum Hall effects, topological insulators, Faddeev-Skyrme model, Hopfions, . . .
- ▶ Bi & Yan & Lu & Wang Phys. Rev. B 2017: Nodal-knot semimetals





# Questions

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Interesting consequences for knot theory?

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**Disclaimer: Work in progress. Still sign mistakes, l.o.t.-terms neglected etc.. Some parts will be sketchy.**



## Other mathematical literature?

The problem can be interpreted as a stratified space with strata of dimensions  $m$  and  $m - 2$ .

Much literature, but our case does not seem to be covered.

- ▶ Albin & Gell-Redman 2016: incomplete edge space. Self-adjoint extensions, Fredholmness, index theory. This seems to fit. However, A&G-R require a spectral condition, called “Witt condition” which is in our case only satisfied for  $\alpha \in \mathbb{Z}^\ell$ .
- ▶ Mazzeo: has work prior to A&G-R on a blown-up version, seems to have gone into A&G-R
- ▶ Leichtnam & Mazzeo & Piazza
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It seems that we have to do the work ourselves.



# Self-adjoint extensions. Again: the setting

(joint work in progress with Nadine Große, Freiburg)

- ▶  $N$  a compact oriented submanifold of codimension 2 of  $M$ .
- ▶  $\pi : [M : N] \rightarrow M$  the blowup of  $M$  along  $N$ .  
 $S_M N = \partial[M : N] = \pi^{-1} N$ .  
 $\hat{g} = \pi^* g : T_\rho[M : N] \otimes T_\rho[M : N] \rightarrow \mathbb{R}$  is degenerate along circle fibers of  $S_M N \rightarrow N$
- ▶  $W \rightarrow [M : N]$  a suitable generalized  $\hat{g}$  spinor bundle

$$N = \coprod_{j=1}^{\ell} N_j$$

**Monodromy**  $\alpha = (\alpha_1, \dots, \alpha_\ell)$ .

Parallel transport in  $W$  around  $N_j$  is  $e^{2\pi i \alpha_j}$ .

The associated Dirac operator  $\not{D}$  is a formally self-adjoint 1st order differential operator.





# Minimal and maximal closed extensions

**Idea: Try to follow Bär-Ballmann**

$C_c^\infty(W) := \{\text{sections of } W \text{ with compact support in } [M : N]\}$

$C_{cc}^\infty(W) := \{\text{sections of } W \text{ with compact support in } M \setminus N\}$

The minimal Dirac operator  $\not{D}_{\min}$  is the Dirac operator whose domain is the closure of  $C_{cc}^\infty(W)$  with respect to the graph norm

$$\|\varphi\|_D^2 := \|\varphi\|_{L^2}^2 + \|\not{D}\varphi\|_{L^2}^2.$$

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**Our Goal:** Find domains  $\mathcal{D}$  with  $\text{dom}(\mathcal{D}_{\min}) \subset \mathcal{D} \subset \text{dom} \mathcal{D}_{\max}$  such that

$$\mathcal{D}_{\max}|_{\mathcal{D}}$$

is self-adjoint.



# First Surprise

$\text{dom}(\mathcal{D}_{\max})$  is **not** the closure of  $C_c^\infty(W)$ .

**Problem:**  $\mathcal{D} : C_c^\infty(W) \rightarrow C_c^\infty(W)$  not defined.



# First Surprise

$\text{dom}(\mathcal{D}_{\max})$  is **not** the closure of  $C_c^\infty(W)$ .

**Problem:**  $\mathcal{D} : C_c^\infty(W) \rightarrow C_c^\infty(W)$  not defined.

Even worse:  $\mathcal{D}(\varphi|_{M \setminus N}) \notin L^2$ , unless if  $\varphi$  is parallel along the circles of  $S_M N \rightarrow N$ .



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**Case 2:**  $\alpha_j \in \mathbb{Z}$ . Then we have

$$\text{dom}(\mathcal{D}_{\max}) = \text{dom}(\mathcal{D}_{\min}),$$

i.e.  $\mathcal{D}_{\min}$  is self-adjoint!

Why this?

**Thus:**  $C_c^\infty(W)$  seems to be useless for us!





# The case $\alpha \in \mathbb{Z}^\ell$

In this case  $W = \pi^*(\mathcal{W})$ .

## Lemma 1.

*Let  $M$  be a complete manifold with generalized spinor bundle  $\mathcal{W}$ . Let  $H_{\mathcal{D}}^1(M, \mathcal{W})$  be the completion of  $C_c^\infty(M, \mathcal{W})$  w.r.t. the graph norm of  $\mathcal{D}$ . If  $N \subset M$  is (a compact submanifold) of codimension  $\geq 2$ , then  $C_c^\infty(M \setminus N, \mathcal{W})$  is dense in  $H_{\mathcal{D}}^1(M, \mathcal{W})$ .*

Thus: “ $N$  is **invisible**.”



## Lemma 1.

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### Proof.

Wlog codimension 2.

Let  $\varphi \in C_c^\infty(M, \mathcal{W})$ .

Take a logarithmic cut-off

$$\chi_{k,\epsilon}(x) := \begin{cases} 0 & \text{for } r(x) \leq e^{-k}\epsilon, \\ \frac{1}{k} \log \frac{r(x)\epsilon^k}{\epsilon} & \text{for } e^{-k}\epsilon \leq r(x) \leq \epsilon, \\ 1 & \text{for } r(x) \geq \epsilon. \end{cases} \quad (1)$$

Then

$$\|\nabla(\chi_{k,\epsilon}\varphi) - \nabla\varphi\|_{L^2} \leq C(\epsilon + \sqrt{k}). \quad (2)$$

For  $\epsilon = k^{-1/2} \rightarrow 0$  we have  $\chi_{k,\epsilon}\varphi \rightarrow \varphi$ .

## Some positive results (without proofs)

### Lemma.

*Suppose that  $\varphi \in \text{dom}(\mathcal{D}_{\max})$  is bounded on a neighbourhood of  $N$ . Then  $\varphi \in \text{dom}(\mathcal{D}_{\min})$ .*

### Lemma.

*Assume that the geometry of  $g$  and  $W$  is bounded,  $\mathcal{D}$  coercive at infinity. Then on  $\text{dom}(\mathcal{D}_{\min})$  the graph-norm for  $\mathcal{D}$  is equivalent to the classical  $H^1$ -norm, i.e. the graph norm for  $\nabla$ .*

### Lemma.

*For an  $L^1_{\text{loc}}$ -section  $\varphi$  of  $W$  we define  $D\varphi$  in the distributional sense where as test functions we use the compactly supported smooth sections of  $W^* \otimes \wedge^n T^*M$ . Then  $\text{dom}(\mathcal{D}_{\max})$  is the vector space of all  $L^1_{\text{loc}}$ -section of  $W$  for which  $\varphi$  and  $D\varphi$  are in  $L^2$ .*



# Abstract extension space

$$\check{Q} := \frac{\text{dom } \mathcal{D}_{\max}}{\text{dom } \mathcal{D}_{\min}}$$

abstract extension space with graph norm.

For  $\varphi, \psi \in \text{dom}(\mathcal{D}_{\max})$  we define

$$\check{b}([\varphi], [\psi]) := \int_{M \setminus N} \left( \langle \mathcal{D}\varphi, \psi \rangle - \langle \varphi, \mathcal{D}\psi \rangle \right) dv^g.$$

It is a well-defined, non-degenerate skew-hermitian form on  $\check{Q}$ .

## Goals:

Identify this as  $\check{H}$ -sections of a bundle over  $N$ .

Show that the pairing is perfect.

$\{\text{self-adj. bdy cond.}\} \xleftrightarrow{1:1} \{\text{Lagrangian subspaces of } (\check{Q}, \check{b})\}$



# The normal volume element

Let  $(e_1, e_2)$  be a positively oriented orthonormal frame of the normal bundle  $\nu_M N$  at  $p$ .

We define  $\omega_{\text{nor}} := e_1 \cdot e_2 \in \text{End}(W_p)$ .

Extend smoothly for  $p$  in neighborhood of  $N$ . Decompose into  $\omega_{\text{nor}}$ -eigenspace bundles for eigenvalues  $\pm i$ .

$$W = W_+ \oplus W_-$$

$$\not{D} = \underbrace{\not{D}^{\text{nor}}}_{\text{odd}} + \underbrace{\partial_r \cdot \not{D}^N}_{\text{even}} + \text{l.o.t.}$$



# Portman-Sok-Solovej boundary condition

Choose a sign  $\epsilon_j \in \{\pm 1\}$  for each  $j = 1, \dots, \ell$ .

Close to  $N_j$  the boundary condition is

$$\mathcal{B} = \{\varphi \in \text{dom}(\mathcal{D}_{\max}) \mid (\omega_{\text{nor}} + i\epsilon_j) \cdot \varphi \in \text{dom}(\mathcal{D}_{\max})\}.$$

## Theorem 2 (PSS $\approx$ 2017).

*This is a self-adjoint boundary condition in the case  $M = \mathbb{S}^3$ ,  $N$  a link,  $L$  flat.*

We extend this the whole setting, but there are many more self-adjoint extensions.



# Continuity in $\alpha$

Is the PSS boundary condition continuous in  $\alpha$ ?

The PSS boundary condition is

- ▶ continuous for  $\epsilon_j \alpha_j \nearrow 0 \pmod{\mathbb{Z}}$ ,
- ▶ but non-continuous for  $\epsilon_j \alpha_j \searrow 0 \pmod{\mathbb{Z}}$ .

## General boundary conditions

The  $\check{H}$ -spaces have a both-sided regularity incontinuity at  $\alpha_j \equiv 1/2 \pmod{2}$

## Importance of continuity

Spectral flow arguments

Fredholm index is not constant at  $\alpha_j \equiv 0 \pmod{\mathbb{Z}}$ .



## 2-dimensional model space

Assume  $M = \mathbb{C} \ni z$ ,  $N = \{0\}$ ,  $\Sigma = \underline{\mathbb{C}}^2 = \Sigma_+ \oplus \Sigma_-$

$L$  flat bundle over  $[\mathbb{C} : \{0\}]$ , monodromy  $\alpha$

Then  $\omega_{\text{nor}}$  is the standard volume element.

$$\not{D} = \not{D}^{\text{nor}} = \sqrt{2} \begin{pmatrix} 0 & \bar{\partial} \\ -\partial & 0 \end{pmatrix}$$

$\frac{z^{-\alpha}}{|z|^{-\alpha}}$  represents a nowhere vanishing smooth section of  $L$ .

Ansatz:

$$\Phi_{\beta,\gamma}^+ := \begin{pmatrix} z^\beta \bar{z}^\gamma \\ 0 \end{pmatrix}, \quad \Phi_{\beta,\gamma}^- := \begin{pmatrix} 0 \\ z^\beta \bar{z}^\gamma \end{pmatrix}.$$

where  $\beta$  and  $\gamma$  over real numbers with  $\beta - \gamma + \alpha \in \mathbb{Z}$ .

$\Phi_{\beta,\gamma}^\pm \in L_{\text{loc}}^2$  iff  $\beta + \gamma > -1$

$$\not{D}\Phi_{\beta,\gamma}^+ = -\sqrt{2}\beta\Phi_{\beta-1,\gamma}^-, \quad \not{D}\Phi_{\beta,\gamma}^- = \sqrt{2}\gamma\Phi_{\beta,\gamma-1}^+$$



## Lemma.

The condition that  $\Phi_{\beta,\gamma}^{\pm} \in \text{dom}(\mathcal{D}_{\max})$  is characterized as follows (“locally around 0”).

- (1) Suppose  $\beta \neq 0$  and  $\gamma \neq 0$ . Then  $\Phi_{\beta,\gamma}^{\pm} \in \text{dom}(\mathcal{D}_{\max})$  if and only if  $\beta + \gamma > 0$ .
- (2) Suppose  $\beta = 0$  and  $\gamma \neq 0$ . Then  $\Phi_{0,\gamma}^{+} \in \text{dom}(\mathcal{D}_{\max})$  if and only if  $\gamma > -1$ , and  $\Phi_{0,\gamma}^{-} \in \text{dom}(\mathcal{D}_{\max})$  if and only if  $\gamma > 0$ .
- (3) Suppose  $\beta \neq 0$  and  $\gamma = 0$ . Then  $\Phi_{\beta,0}^{+} \in \text{dom}(\mathcal{D}_{\max})$  if and only if  $\beta > 0$ , and  $\Phi_{\beta,0}^{-} \in \text{dom}(\mathcal{D}_{\max})$  if and only if  $\beta > -1$ .
- (4) Suppose  $\beta = \gamma = 0$ .  $\Phi_{0,0}^{\pm} \in \text{dom}(\mathcal{D}_{\max}) = \text{dom}(\mathcal{D}_{\min})$ .

$\alpha \in (0, 1)$ : Then elements in  $\text{dom}(\mathcal{D}_{\max})$  are of the form

$$\left( \begin{array}{c} \bar{z}^{\alpha-1} \varphi_{+} \\ z^{-\alpha} \varphi_{-} \end{array} \right) + \text{dom}(\mathcal{D}_{\min}).$$

# Higher dimensions: Extension map and Trace map

Now: For simplicity of presentation let  $N$  be connected.

**Idea:** The trace map is given by

$$\begin{aligned}\mathcal{R} : \text{dom}(\mathcal{D}_{\max}) &\rightarrow \Gamma(W|_{S_M N}) \\ \varphi &\mapsto \lim_{r \searrow 0} \begin{pmatrix} r^{1-\alpha} & 0 \\ 0 & r^\alpha \end{pmatrix} \varphi|_{\partial U_r(N)}\end{aligned}$$

$$\begin{aligned}\check{b}([\varphi], [\psi]) &\stackrel{\text{def}}{=} \int_{M \setminus N} (\langle \mathcal{D}\varphi, \psi \rangle - \langle \varphi, \mathcal{D}\psi \rangle) dv^g \\ &= B(\mathcal{R}(\varphi), \mathcal{R}(\psi))\end{aligned}$$

where  $B(\Phi, \Psi) = \int_{S_M N} \langle \Phi, \partial_r \cdot \Psi \rangle d\mu$  and where  $\mu$  is the  $S^1$ -equivariant measure on  $S_M N$  with  $\pi_* \mu = \text{dvol}^N$ .



# Wish list

Extension operator

$$\mathcal{E} : \check{H}_\alpha(W|_{S_M N}) := \text{Image}(\mathcal{R}) \rightarrow \text{dom}(\mathcal{D}_{\max})$$

Wishes:

$$\begin{aligned}\mathcal{R} \circ \mathcal{E} &= \text{Id} \\ \check{b}(\varphi, \mathcal{E}(\Psi)) &= B(\mathcal{R}(\varphi), \Psi)\end{aligned}$$

$B$  is a perfect pairing on  $\check{H}_\alpha(W|_{S_M N})$ .

To determine  $\check{H}_\alpha(W|_{S_M N})$  we have to consider

- ▶  $S^1$ -equivariance
- ▶ regularity along  $N$



# Equivariance

Let  $\alpha \in (0, 1)$

$S^1 \subset \mathbb{C}$  acts on the  $S^1$ -principle bundle  $S_M N \rightarrow N$ :

$\rho : S^1 \rightarrow \text{Diff}(S_M N)$ .

Then  $K := d\rho(i)$  a vector field on  $S_M N$ .

We define

$$\Gamma_\alpha(W^+|_{S_M N}) := \{ \Phi \in C^\infty(W^+|_{S_M N}) \text{ with } \nabla_K \Phi = i(1 - \alpha)\Phi \}$$

$$\Gamma_\alpha(W^-|_{S_M N}) := \{ \Phi \in C^\infty(W^-|_{S_M N}) \text{ with } \nabla_K \Phi = -i\alpha\Phi \}$$

$$\Gamma_\alpha(W|_{S_M N}) := \Gamma_\alpha(W^+|_{S_M N}) \oplus \Gamma_\alpha(W^-|_{S_M N})$$

$\Gamma_\alpha(W|_{S_M N})$  is the space of sections of a vector bundle over  $N$ .



# Density and regularity

**Relevance:** Let  $\Phi_{\pm} \in \Gamma_{\alpha}(W^{\pm}|_{S_M N})$ .

Then

$$\chi(r) \left( r^{\alpha-1} \Phi_{+} + r^{-\alpha} \Phi_{-} \right) \in \text{dom}(\not{D}_{\max}).$$

Up to l.o.t. and  $\nabla\chi$ -terms it is in the kernel of the normal Dirac operator  $\not{D}^{\text{nor}}$ .

$\Gamma_{\alpha}(W|_{S_M N})$  is dense in the Hilbert space  $\check{H}_{\alpha}(W|_{S_M N})$ .

To explain the norm on the space we will discuss

- ▶ The canonical metric on the normal bundle
- ▶ The  $N$ -Dirac operator
- ▶ The  $\check{H}_{\alpha}$ -spaces



# Canonical metric on the normal bundle

To understand codimension **1** boundary conditions, one has to understand half-cylinders  $N \times [0, \infty)$  first.

In fact, half cylinders are a special case of the (blown-up) canonical metric on the normal bundle.

Let  $N \subset M$  be of codimension  $k$ . The canonical metric is a Riemannian metric on the total space of  $\pi : \nu_M N \rightarrow N$  such that

- ▶  $\pi$  is a Riemannian submersion,
- ▶ the horizontal spaces  $\mathcal{H}_p$  are given by the connection on  $\nu_M N \rightarrow N$ ,
- ▶ for  $V \in \nu_M$  the vertical space in  $V$  is naturally isometric to  $\nu_M N|_{\pi(V)}$ .

The Dirac operator  $\not{D}_0$  on  $(\nu_M N, g_{\text{can}})$  is our **model operator**.



# The $N$ -Dirac operator

The horizontal space also define a distribution  $\mathcal{H}$  of codimension  $k - 1$  in  $S_M N$ .

For an onb  $e_1, \dots, e_{m-k}$  of  $\mathcal{H}_p$  and  $\varphi \in \Gamma(W|_{S_M N})$  we define the  $N$ -Dirac operator as

$$\left( \not{D}^N \varphi \right) |_p := - \sum_{j=1}^{m-k} \partial_r \cdot e_j \cdot \nabla_{e_j} \varphi.$$

## Lemma.

*The operator  $\not{D}^N$  is an odd, formally self-adjoint, elliptic operator of Dirac type on  $N$ .*



## Back to our codimension 2 setting

On the model space we have

$$\begin{aligned}\mathcal{D}_0 &= \underbrace{\partial_r \cdot \nabla_r + \frac{K}{r} \cdot \nabla_{K/r}}_{\mathcal{D}^{\text{nor}}} + \partial_r \cdot \mathcal{D}^N \\ &= \partial_r \cdot \left( \nabla_r - \omega_{\text{nor}} \cdot \nabla_{K/r} + \mathcal{D}^N \right)\end{aligned}$$

Note that

$$\begin{aligned}(\nabla_r - \omega_{\text{nor}} \cdot \nabla_{K/r}) (r^{\alpha-1} \varphi_+) &= 0 \\ (\nabla_r - \omega_{\text{nor}} \cdot \nabla_{K/r}) (r^{-\alpha} \varphi_-) &= 0\end{aligned}$$

**Idea:** Analyse this in a spectral decomposition for  $\mathcal{D}^N$   
This will give us the  $\check{H}$ -space.





# The $\check{H}_\alpha$ spaces

## “Theorem”.

Let  $\alpha \in (0, 1)$ . We obtain a splitting

$$\begin{aligned}\Gamma_\alpha(W|_{S_M N}) &= V_+ \oplus V_- \\ \check{H}_\alpha(W|_{S_M N}) &= \overline{V_+}^{-H^\beta} \oplus \overline{V_-}^{-H^{-\beta}}\end{aligned}$$

where  $\beta := \min\{\alpha, 1 - \alpha\}$ .

There is a surjective trace map  $\mathcal{R} : \text{dom}(\not{D}_{\max}) \rightarrow \check{H}_\alpha(W|_{S_M N})$   
with kernel  $\text{dom}(\not{D}_{\min})$  and an injective extension map

$\mathcal{E} : \check{H}_\alpha(W|_{S_M N}) \rightarrow \text{dom}(\not{D}_{\max})$  with

$$\begin{aligned}\mathcal{R} \circ \mathcal{E} &= \text{Id} \\ \check{b}(\varphi, \mathcal{E}(\Psi)) &= B(\mathcal{R}(\varphi), \Psi)\end{aligned}$$

$B$  is a perfect pairing on  $\check{H}_\alpha(W|_{S_M N})$ .

$V_- := \{\Phi \in \Gamma_\alpha(W|_{S_M N}) \mid \Phi \text{ “extends” to a } \not{D}_0\text{-harmonic } L^2\text{-spinor}\}$

# The Ansatz

**Attention:**  $\mathcal{D}^N$  anticommutes with  $\omega_{\text{nor}}$ .

We assume  $\mathcal{D}^N \Phi = \lambda \Phi$ ,  $\Phi = (\Phi_+, \Phi_-)$ .

For  $r \rightarrow \infty$ :  $\mathcal{D}^N$  dominates, thus  $L^2 \Leftrightarrow \lambda > 0$

For  $r \rightarrow 0$ :  $\nabla_{K/r}$  dominates

## Ansatz

We search for a solution asymptotic to  $\exp(-\lambda r)\Phi$

$$\varphi = f_+(r)\Phi_+ + f_-(r)\Phi_-, \quad f = (f_+, f_-)$$

$\mathcal{D}_0 \varphi = 0$  then translates into

$$0 = f'(r) + \frac{1}{r} \begin{pmatrix} 1 - \alpha & 0 \\ 0 & \alpha \end{pmatrix} f(r) + \lambda f(r)$$

The asymptotics for  $r \rightarrow 0$  of solutions of this ODE depend strongly on the sign of  $\alpha - \frac{1}{2}$ .



# The $\check{H}_\alpha$ spaces

For  $\alpha \in (0, 1/2)$ : for a smooth section  $\Phi = (\Phi_+, \Phi_-)$  of  $W|_{S_M N}$

$$\|\Phi\|_{\check{H}}^2 := \|\Phi_+\|_{H^{-\alpha}}^2 + \|\Phi_-\|_{H^\alpha}^2$$

For  $\alpha \in (1/2, 1)$ : for a smooth section  $\Phi = (\Phi_+, \Phi_-)$  of  $W|_{S_M N}$

$$\|\Phi\|_{\check{H}}^2 := \|\Phi_+\|_{H^{1-\alpha}}^2 + \|\Phi_-\|_{H^{\alpha-1}}^2$$

For  $\alpha = 1/2$ : the space  $V_-$  is spanned by the eigenspinors of  $\mathcal{D}^N$  to the positive eigenvalues.



# The extension map

On  $V_-$  it is obtained by solving the ODE backwards: from  $r \rightarrow 0$  to  $r \rightarrow \infty$ .

$$V_- \rightarrow \text{dom}(\mathcal{D}_{\max})$$

What do we do with  $V_+$ ? (for simplicity  $\alpha \neq 1/2$ )

Extend  $\Phi \in V_+$  by

$$\mathcal{E}(\Phi) := r^{\beta-1} \exp\left(-|\mathcal{D}^N| r\right) \Phi.$$

Then  $\mathcal{D}_0\varphi \neq 0$ , but the  $L^2$ -norm of  $\mathcal{D}_0\varphi$  remains sufficiently well-controlled.



Why is it impossible to find an extension on a larger space  $\tilde{H}$ ? Why is it impossible that  $\text{Image } \mathcal{R}$  is larger?

(Until now we only have seen arguments for  $\check{H}_\alpha \subseteq \text{Image } \mathcal{R}$ !)



# Why is it impossible to find an extension on a larger space $\tilde{H}$ ? Why is it impossible that $\text{Image } \mathcal{R}$ is larger?

(Until now we only have seen arguments for  $\check{H}_\alpha \subseteq \text{Image } \mathcal{R}$ !)

Answer: As we have found a space, on which  $B$  is a perfect pairing!

Consider the continuous map

$$\Psi \mapsto b(\varphi, \mathcal{E}(\Psi)) = B(\mathcal{R}(\varphi), \Psi)$$

Thus  $B(\mathcal{R}(\varphi), \bullet) \in \tilde{H}^*$

$$\implies \mathcal{R}(\varphi) \subseteq \tilde{H}^{*B} \subseteq \check{H}^{*B} = \check{H}.$$

So, if  $\check{H} \subsetneq \tilde{H}$  is a strict inclusion, then  $\tilde{H}^{*B} \subsetneq \check{H}$ , thus we get a contradiction to  $\mathcal{R} \circ \mathcal{E} = \text{Id}$ .

