

# From Pythagorean triples to constant mean curvature surfaces

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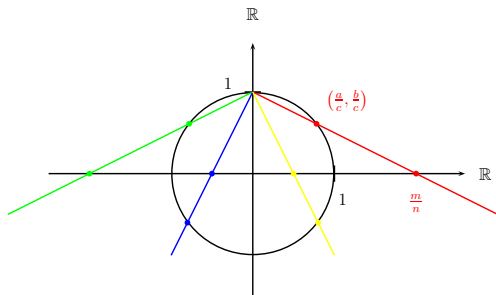
# Weierstraß representation for surfaces in $\mathbb{R}^3$

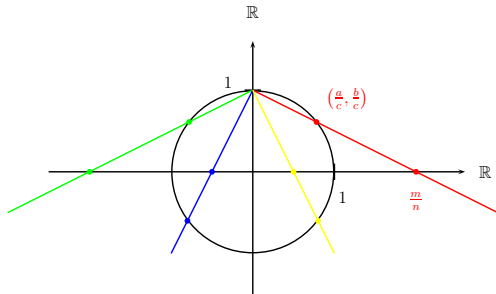
## Pythagorean triples

$$a^2 + b^2 = c^2 \quad a, b, c \in \mathbb{Z} \quad (*)$$

Equivalently: Solve  $\left(\frac{a}{c}, \frac{b}{c}\right) \in \left\{v \in \mathbb{Q}^2 \mid |v| = 1\right\}$

$\xleftrightarrow{\text{stereogr. proj.}}$  rational points on  $\mathbb{R} \cup \{\infty\}$





$$\left( \frac{a}{c}, \frac{b}{c} \right) = \left( \frac{2mn}{m^2 + n^2}, \frac{m^2 - n^2}{m^2 + n^2} \right)$$

$$\begin{pmatrix} m \\ n \end{pmatrix} \mapsto \begin{pmatrix} a = 2mn \\ b = m^2 - n^2 \\ c = m^2 + n^2 \end{pmatrix}$$

$\mathbb{Z} \times \mathbb{Z} \rightarrow$  Solutions of (\*) in  $\mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}$

## Complexification

$$\text{Quadric } Q = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix} \in \mathbb{C}^3 \mid a^2 + b^2 + c^2 = 0 \right\}$$

$$\begin{pmatrix} m \\ n \end{pmatrix} \mapsto \begin{pmatrix} m^2 - n^2 \\ i(m^2 + n^2) \\ 2mn \end{pmatrix}$$

$$\begin{array}{ccc} \mathbb{C}^2 & \xrightarrow{2:1} & Q \\ \downarrow & & \downarrow \\ \mathbb{C}P^1 & \xrightarrow{1:1} & [Q] \end{array}$$

# Conformal parametrizations of surfaces

## Parametrization of a surface

$U \subset \mathbb{C}$  open,  $(x, y) \in U$ .

$F : U \rightarrow \mathbb{R}^3$  parametrization of a piece of a surface

$$\frac{\partial F}{\partial z} = \frac{1}{2} \left( \frac{dF}{dx} - i \frac{dF}{dy} \right)$$

$F$  is conformal (=angle preserving)

$$\Leftrightarrow \left| \frac{dF}{dx} \right| = \left| \frac{dF}{dy} \right| \text{ and } \frac{dF}{dx} \perp \frac{dF}{dy}$$

$$\Leftrightarrow \frac{\partial F}{\partial z} \in Q$$

## Weierstraß representation ( $\leq 1866$ )

$F : U \rightarrow \mathbb{R}^3$      $F$  conformal.

Find  $\varphi_1, \varphi_2 : U \rightarrow \mathbb{C}$ , such that

$$\frac{\partial F}{\partial z} = \begin{pmatrix} \varphi_1^2 - \varphi_2^2 \\ i(\varphi_1^2 + \varphi_2^2) \\ 2\varphi_1\varphi_2 \end{pmatrix}$$

$F(U)$  is a minimal surface (i.e. mean curvature  $H = 0$ )

$\Leftrightarrow \varphi_1$  and  $\varphi_2$  are holomorphic functions.

## Why is this important?

The equation  $H = 0$  is a non-linear partial differential equation, thus a priori hard to solve.

{Solutions of  $H = 0$ }  $\longleftrightarrow$

{Pairs  $(\varphi_1, \varphi_2)$  of holomorphic functions}

Holomorphic functions are much easier to study.



## Global Description

Under conformal coordinate transformations  $\varphi_1$  and  $\varphi_2$  behave the same way as square roots of 1-forms. Thus they are (half-)spinors.

Now let  $M$  be Riemann surface, conformally embedded (or immersed) into  $\mathbb{R}^3$ .

$$T^*M = \Sigma^+M \otimes_{\mathbb{C}} \Sigma^+M$$

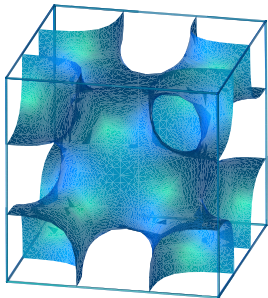
$$\Sigma^-M := \overline{\Sigma^+M}$$

$$\Sigma M := \Sigma^+M \oplus \Sigma^-M$$

$$\varphi := (\varphi_1, \bar{\varphi}_2) \in \Gamma(\Sigma M)$$

Dirac operator  $D : \Gamma(\Sigma M) \rightarrow \Gamma(\Sigma M)$

$$D \begin{pmatrix} \varphi_1 \\ \bar{\varphi}_2 \end{pmatrix} = \begin{pmatrix} 0 & -\partial \\ \bar{\partial} & 0 \end{pmatrix} \begin{pmatrix} \varphi_1 \\ \bar{\varphi}_2 \end{pmatrix}.$$



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$\left\{ \begin{array}{l} \text{periodic minimal surfaces} \\ \text{with} \\ \text{fundamental domain } M \end{array} \right\} / \text{Translations}$

$\xleftrightarrow{1:1}$

$\{\text{Pairs of holomorphic sections of } \Sigma^+ M\} / \pm 1$

$\xleftrightarrow{1:1}$

$\{\text{Solutions of } D\varphi = 0\} / \pm 1$

What about  $H \neq 0$ ?

Kusner-Schmitt (1993/95/96):

$$\left\{ \begin{array}{l} \text{Solutions of} \\ D\varphi = H |\varphi|^2 \varphi \\ \text{on } M \end{array} \right\} / \pm 1$$

$$\longleftrightarrow^{1:1}$$

$$\left\{ \begin{array}{l} \text{Conformal periodic immersions with} \\ \text{mean curvature function } H \text{ of } \tilde{M} \text{ in } \mathbb{R}^3 \\ \text{with branch points of even order} \end{array} \right\} / \text{Translations}$$

# Modern presentations by Bär and Friedrich

**Bär: 1997/98** (Special case previously by Trautman)

Assume  $N$  carries a parallel spinor  $\psi$ , e.g.  $N = \mathbb{R}^3$ ,  
and that  $M$  is a hypersurface in  $N$ .

Then  $\tilde{\varphi} := \psi|_M$  satisfies

$$\nabla_X \tilde{\varphi} = \frac{1}{2} W(X) \cdot \tilde{\varphi}, \quad |\tilde{\varphi}| = \text{const} \stackrel{\text{wlog}}{=} 1$$

for the induced metric  $\tilde{g}$  on  $M$ .

Thus  $\mathcal{D}\tilde{\varphi} = H\tilde{\varphi}$ ,  $|\tilde{\varphi}| \equiv 1$ .

Now suppose  $\tilde{g} = f^4 g$ .  $\rightsquigarrow \mathcal{D}^{\tilde{g}} = f^{-3} \mathcal{D}^g f$ .

Then  $\varphi := f\tilde{\varphi}$  satisfies

$$\mathcal{D}^g \varphi = H|\varphi|^2 \varphi.$$

# Modern presentations by . . . and Friedrich

## **Friedrich: 1997/98, shortly afterwards**

The energy-momentum tensor of  $\varphi$  will provide the Weingarten map  $W$ .

$\tilde{g} := |\varphi|^4 g$  and  $W$  satisfy:

a) Gauß equation:  $\det W = K\tilde{g}$

b) Codazzi equation:  $(\nabla_X W)(Y) = (\nabla_Y W)(X)$

This allows a compatible immersion into  $\mathbb{R}^3$ .

# The case $H = \text{const}$ / Non-linear Dirac eigenvalues

Minimizing  $\lambda_1^+(\not{D}^g) \text{vol}(M, g)^{1/n}$  in a conformal class

Let  $(M, g)$  be a closed Riemannian manifold,  $n = \dim M$ .

Let the spectrum of  $\not{D}^g$  be

$$-\infty \leftarrow \dots \leq \lambda_1^-(g) < \underbrace{0 = \dots = 0}_{\dim \ker \not{D}^g \text{ times}} < \lambda_1^+(g) \leq \dots \rightarrow \infty$$

Lemma (Lott 1986, Ammann 2003)

$$\lambda_{\min}(M, [g]) := \inf_{\tilde{g} \in [g]} \lambda_1^+(\not{D}^{\tilde{g}}) \text{vol}(M, \tilde{g})^{1/n} > 0$$

Minimizing  $\lambda_1^+(\mathcal{D}^g) \text{vol}(M, g)^{1/n}$  in a conformal class

$$\lambda_{\min}(M, [g]) := \inf_{\tilde{g} \in [g]} \lambda_1^+(\tilde{g}) \text{vol}(M, \tilde{g})^{1/n} > 0$$

### Theorem

If  $\lambda_{\min}(M, [g]) < (n/2) \text{vol}(\mathbb{S}^n)^{1/n}$ , then the infimum is attained in a “generalized” metric.

The proof is similar to the solution of the Yamabe problem.  
For  $q = 2n/(n+1)$  one maximizes the functional

$$\psi \mapsto \mathcal{F}(\psi) = \frac{\int_M \langle \mathcal{D}^g \psi, \psi \rangle \, d\text{vol}^g}{\|\mathcal{D}^g \psi\|_{L^q(M, g)}^2}$$

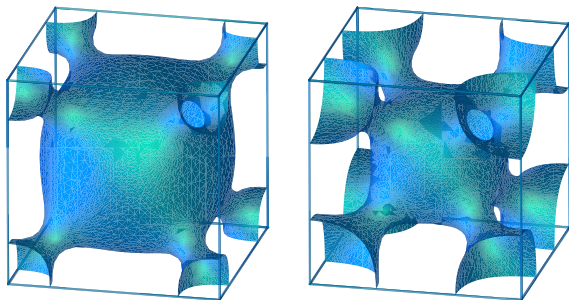
If  $\psi$  maximizes, the infimum is attained in  $\tilde{g} := |\mathcal{D}\psi|^{4/(n+1)} g$ .

## The case $H = \text{const}$ cont'd

In this way one finds a maximizer  $\psi$  that satisfies

$$\mathcal{D}\psi = \lambda_{\min}(M, g)|\psi|^{2/(n-1)}\psi$$

and for  $n = 2$  this is the Weierstraß representation of a surface with  $H \equiv \lambda_{\min}(M, g)$ .

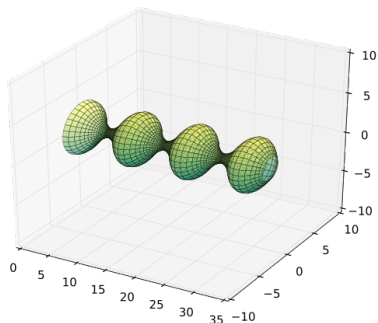


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## More pictures for $H = \text{const}$

### The unduloid – rectangular tori



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We cannot show that this is the minimizer for the torus (conjecture: it is!), but if it is not, then there are very interesting other cmc surfaces based on the 2-torus.

## Non-constant functions $H$

Suppose  $(M^2, g)$  is a closed Riemannian surface,  $H : M \rightarrow \mathbb{R}^3$ .  
Is there a conformal map  $F : M \rightarrow \mathbb{R}^3$  with mean curvature  $H$ ?

### Theorem (Ammann, Humbert, Ould Ahmedou)

*If  $X$  is a conformal vector field on  $S^2$  and  $F : S^2 \rightarrow \mathbb{R}^3$  as above, then*

$$\int_{S^2} \partial_X H \, d\text{vol}^{F^*g_{\text{eucl}}} = 0.$$

**Consequence:** Many functions, e.g.  $H(x^1, x^2, x^3) = x^1$ , are not a mean curvature!

**Question:** Does any mean curvature function on  $S^2$  has at least 3 (or even 4) stationary points?

**Four vertex theorem/Vierscheitelsatz:** For  $S^1 \hookrightarrow \mathbb{R}^2$  the function  $H$  has at least 4 stationary points.

For special functions existence results by M. Anderson and Tian Xu

## Curvature in higher dimensions

Let  $M$  be a Riemannian manifold of arbitrary dimension  $n \geq 2$ .  
 $p \in M$ ;  $Q \subset T_p M$  a 2-dimensional subspace.

For any  $v \in Q$  take a geodesic  $\gamma_v : [0, \epsilon) \rightarrow M$  with  $\gamma_v(0) = p$   
and  $\dot{\gamma}_v(0) = v$ .

The union of such curves is a (2-dimensional) surface  $S_Q$  in  $M$ .

Sectional curvature of  $M$ :  $\text{Sec}^M(Q, p) := K^{S_Q}(p)$  Gauß curvature of  $S_Q$

For  $v \in T_p M$ ,  $|v| = 1$  we define

$$\text{RIC}(v) := (n - 1) \cdot (\text{average of } \text{Sec}_Q \text{ over all } Q \ni v).$$

We say  $(M, g)$  is an **Einstein manifold** with **Einstein constant**  $\lambda$  if

$$\text{RIC} \equiv \lambda$$

## Higher dimensions: manifolds with parallel spinors

**Recall:** Bär's method for the Weierstraß representation requires a parallel spinor on a Riemannian manifold  $N$ .  
Classical case  $N = \mathbb{R}^3$ .

Manifolds with a parallel spinor are Ricci-flat  $\text{RIC} \equiv 0$ .  
They are *structured* Ricci-flat.

**Thus:** If  $M \hookrightarrow N$  is a conformal (oriented) embedding of a hypersurface into such an  $N$ , then restriction of this spinor gives a solution to

$$D\psi = H|\psi|^{2/(n-1)}\psi \text{ on } M.$$

**Is there a converse?**

For general  $n \geq 2$  and  $N = \mathbb{R}^{n+1}$  very rarely!

# Hypersurfaces in structured Ricci-flat manifolds

B. A.– A. Moroianu – S. Moroianu (2013):

A **generalized Killing vector** is a spinor solution  $\psi$  to

$$\nabla_X \psi = A(X) \cdot \psi, \quad \forall X \in TM$$

for some  $A \in \text{End}(TM)$ .

## Results in general dimensions $n \geq 2$

1.) If  $M^n \subset N^{n+1}$  with  $N$  structured Ricci-flat, then there is a generalized Killing spinor on  $M$  wrt the induced metric  $\tilde{g}$   
*Bär– “Extrinsic ...” or even Trautman*

2.) If there is a generalized Killing spinor on  $(M, \tilde{g})$ , we can get a suitable  $N$  formally (as a power series).

3.) The power series converges if  $\tilde{g}$  and  $A$  are real-analytic; we have examples of non-convergent series with the analyticity assumption.

## 3-dim. hypersurfaces in structured Ricci-flat 4-mnfds

There is a converse for  $n = 3$ !

**Results in dimension  $n = 3$**

4.) Let  $\psi$  be a solution of

$$\mathcal{D}^g \psi = H|\psi|\psi,$$

then  $\tilde{\psi} = |\psi|^{-1}\psi$  is a generalized Killing spinor for  $\tilde{g} = |\psi|^2 g$ .

Thus for any (real-)analytic conformal class on a closed manifold  $M^3$  we get a conformal embedding of constant mean curvature into a structured Ricci-flat  $N$  away from  $\psi^{-1}(0)$ .

5.) If the conformal class is not analytic, no such embedding exists.

## Literature

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